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<u>Patent</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Siani Lynne Pearson,

et al.

Re: Information Disclosure

Statement

Serial No.: 10/080,466

Group: 2131

)

Filed: February 22, 2002

Washington, D.C. 20231

Examiner: not yet assigned

)

Hon. Commissioner of Patents and Trademarks

Our Ref: B-4516 619562-5

For: "ELECTRONIC COMMUNICATION"

) Date: April 26, 2002

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Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the Search Report of United Kingdom application no. GB 0104587.1 (1 page). A copy of the Search Report is enclosed herewith.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance

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Oof the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is authorized to charge Deposit Account No. 12-0415 \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c); and this IDS should be fully considered on the merits, in accordance with 37 C.F.R. § 1.97(d). If this IDS is being submitted after the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is not authorized to charge \$180.00 to Deposit Account No. 12-0415.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Priority Mail in an envelope addressed to the "Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231",

on April 26, 2002 by Suzanne Johnston.

Respectfully submitted,

Richard P. Berg Attorney for Applicant Reg. No. 28,145

LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, CA 90036 (323) 934-2300

Enclosures: Form PTO-1449 (modified) (4 pages)

Copy of documents listed on Form PTO-1449 (modified)



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## RECEIVED MAY 0 2 2002 Technology Center 2100

Form PTO-1449 (Modified)	<b>ATTY DOCKET NO.</b> B-4516 619562-5	U.S. SERIAL NO. 10/080,466	
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Siani Lynne Pearson; et al.		
	Filing DATE February 22, 2002	GROUP 2131	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	5,359,659	10/94	Rosenthal	380	4	
	5,361,359	11/94	Tajalli et al.	395	700	
	5,404,532	4/95	Allen, et al.	395	700	
	5,421,006	5/95	Jablon, et al.	395	575	
	5,444,850	8/95	Chang	395	200.1	
	5,473,692	12/95	Davis	380	25	
	5,572,590	11/96	Chess	380	4	
	5,619,571	4/97	Sandstrom, et al.	380	4	
	5,680,547	10/97	Chang	395	200.01	
	5,694,590	12/97	Thuraisingham, et al.	395	600	
	5,809,145	9/98	Slik et al.	380	25	
	5,815,665	9/98	Teper et al.	395	200.59	
	5,844,986	12/98	Davis	380	4	
	5,867,646	2/99	Benson, et al.	395	186	
	5,903,732	5/99	Reed et al.	395	200.59	
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	6,3	30,670 B1	12/01	England et al.	713	2	1/8/99

				<b>FOREIGN</b>	PATENT	DOCUMENTS
	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	2 187 855	6/97	CA			
	0 304 033 A2	2/89	EP			
7	0 421 409 A2	4/91	EP			
	0 510 244 A1.	10/92	EP			
	0 825 511 A2,	2/98	EP			
	0 849 657 A1,	6/98	EP			
	0 849 680 A2,	6/98	EP			
	0 895 148 A1,	2/99	EP			
	0 926 605 A1 ,	6/99	EP			
	1 030 237 A1	8/00	EP			
	1 055 990 A1,	11/00	EP			
	1 056 010 A1	11/00	EP			
	93/25024 ,	12/93	WO			
	95/24696 '	9/95	WO			
	95/27249	10/95	WO			
	98/15082 <b>'</b>	4/98	. WO			
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OTHE	R DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Anderson, R. and Markus Kuhn, "Tamper Resistance - a Cautionary Note," Proceedings of the Second USENIX Workshop on Electronic Commerce, Oakland CA, pp. 1-11 (November 1996)
	Berger, J.L., et al., "Compartmented Mode Workstation: Prototype Highlights," <i>IEEE Transactions on Software Engineering</i> , Vol. 16, No. 6, pp. 608-618 (June 1990).
	Bontchev, V., "Possible Virus Attacks Against Integrity Programs and How to Prevent Them," <i>Virus Bulletin Conference</i> , pp. 131-141 (September 1992).
	Chaum, D., "Security Without Identification: Transaction Systems to Make Big Brother Obsolete," <i>Communications of the ACM</i> , Vol. 28, No. 10, pp. 1030-1044 (October 1985)
	"HP Virtualvault - Trusted Web-Server Platform - Product Brief," Hewlett-Packard, 6 pages, located at Internet address <a href="http://www.hp.com/security/products/virtualvault/papers/brief">http://www.hp.com/security/products/virtualvault/papers/brief</a> 4.0>.
	IBM Corp., "System for Detecting Undesired Alteration of Software," IBM Technical Disclosure Bulletin, Vol. 32, No. 11, pp. 48-50 (April 1990).
	"Information technology-Security techniques-Entity Authentication - Part 3: Mechanisms using digital signature techniques," ISO/IEC 9798-3, 6 pages (1998).
	"Information technology-Security techniques-Key management - Part 3: Mechanisms using asymmetric techniques," ISO/IEC 11770-3, pp. 1-23 and Annexes A-E (1999).
	Radai, Y., "Checksumming Techniques for Anti-Viral Purposes,"  Virus Bulletin Conference, pp. 39-68 (September 1991).
	Schneck, P.B., "Persistent Access Control to Prevent Piracy of Digital Information," <i>Proceedings of the IEEE</i> , Vol. 87, No. 7, pp. 1239-1250 (July 1999).
	"Secure Computing with Java: Now and the Future," Sun Microsystems, 29 pages, located at Internet address <a href="http://java.sun.com/marketing/collateral/security.html">http://java.sun.com/marketing/collateral/security.html</a> .
	The Trusted Computing Platform Alliance, "Building a Foundation of Trust in the PC,", 9 pages, located at Internet address <a href="https://www.trustedpc.org/home/home.html">www.trustedpc.org/home/home.html</a> > (January 2000).

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Trusted Computing Platform Alliance, Main Specification Version 1.0, 284 pages (January 25, 2001).
Wiseman, S., et al, "The Trusted Path between SMITE and the User," IEEE Proceedings of the Symposium on Security and Privacy, pp. 147-155 (1988).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.